

Sequence Financial Specialists LLC (“Sequence”) is a FINRA registered broker dealer headquartered in Charleston, SC that provides Managing Broker-Dealer (“MBD”) services specializing in Reg A+ & Reg D transactions. We are in adherence with the regulatory policies and procedures provided by FINRA and the SEC in compliance with state and federal laws.

### **Why Choose Sequence as your Managing Broker-Dealer?**

- Our team will build a strong personalized business relationship and work closely with you and your team through every stage of its capital raise.
- We provide a full service, virtual platform for investor on-boarding and transaction management.
- We have developed a comprehensive approach to access investors for transactions.

### **Funding Transactions**

Access to relationships with broker-dealers, wealth advisors, family offices, RIA’s, CPA’s, attorneys, and accredited investors is vital to fund transactions.

### **Comprehensive Approach to Access Investors**

- The Issuer hires and manages dedicated professionals who in turn would be licensed through Sequence, if required, to sell the transaction.
- The Issuer provides an agreed upon budget for Sequence to hire dedicated professionals, compensate, and manage them to sell the transaction.
- Sequence via its internal registered selling representatives may enlist direct investors, selling broker-dealers, RIA's, or other professionals to sell the transaction.
- Sequence works with the Issuer to hire a marketing team to access investors for any transaction that allows full solicitation.



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